



United States Patent and Trademark Office



APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/773,242	01/31/2001	Yun-cheng Ju	M61.12-0331	6141
759	90 07/21/2004		EXAM	INER
` WESTMAN, (CHAMPLIN KELLY, I	P.A.	LAO, 1	ГІМ Р
International Ce	entre			·
Suite 1600			ART UNIT	PAPER NUMBER
900 Second Ave	enue		2655	6
Minneapollis, N	MN 55402-3319		DATE MAII ED: 07/21/200	,-

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
· Office Action Summany	09/773,242	JU ET AL.
• Office Action Summary	Examiner	Art Unit
TI MANUNO DATE COL	Tim Lao	2655
The MAILING DATE of this communication app Period for Reply	ears on the cover sneet with the c	orrespondence address
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period was pailure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	86(a). In no event, however, may a reply be time within the statutory minimum of thirty (30) days a poly and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on 23 Ag	<u>oril 2004</u> .	
2a)⊠ This action is FINAL . 2b)☐ This	action is non-final.	
3) Since this application is in condition for allowar	ice except for formal matters, pro	secution as to the merits is
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.
Disposition of Claims		
4) Claim(s) 1-11 and 13-40 is/are pending in the a	application.	
4a) Of the above claim(s) is/are withdray	vn from consideration.	
5) Claim(s) is/are allowed.		
6)⊠ Claim(s) <u>1-11 and 13-40</u> is/are rejected.		
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction and/or	election requirement.	
Application Papers		
9) The specification is objected to by the Examine	г.	
10)⊠ The drawing(s) filed on <u>4/23/04</u> is/are: a)⊠ acc	cepted or b) objected to by the	Examiner.
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	e 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correcti	on is required if the drawing(s) is obj	ected to. See 37 CFR 1.121(d).
11) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.
Priority under 35 U.S.C. § 119		
 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documents 2. Certified copies of the priority documents 	s have been received. s have been received in Applicati	on No
3. Copies of the certified copies of the prior	·	ed in this National Stage
application from the International Bureau	* **	
* See the attached detailed Office action for a list	or the certified copies not receive	ca.
Attachment(s)		
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summary Paper No(s)/Mail Da	
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date		ratent Application (PTO-152)
.S. Patent and Trademark Office		

Art Unit: 2655

DETAILED ACTION

Response to Arguments

1. Applicant's arguments with respect to claims 1-40 have been considered but are most in view of the new ground(s) of rejection.

Claim Rejections - 35 USC § 112

2. Claims 1-11 and 13-17 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

Regarding claim 1, the detailed description of the feature "associating a character string of the word phrase and the word phrase with a context cue indicative of disambiguating the character string" was directed mostly toward the English language. Examples given to support the feature was directed entirely toward the English language (see for example pages 16-19). The examples describing such feature in an Asian language and the feature "the word phrases comprising Asian characters" in claim 1 do not find support in the original disclosure. Therefore, it is not clear how the feature "for each word phrase of a list of word phrases comprising Asian characters, associating a character string of the word phrase and the word phrase with a context cue indicative of disambiguating the character string" is being implemented in an Asian

Art Unit: 2655

language. If the applicant is content that such limitation is disclosed in the original disclosure, the examiner requests that the applicant provides specific references in the disclosure to support the added limitation "for each word phrase of a list of word phrases comprising Asian characters, associating a character string of the word phrase and the word phrase with a context cue indicative of disambiguating the character string".

Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1-7, 10-11, 13, and 15-40 are rejected under 35 U.S.C. 102(e) as being anticipated by Tang et al. (U.S. Patent 6,163,767, hereinafter "Tang").

Claim(s) Tang discloses: A method for creating a language model for a speech recognition system to disambiguate characters of an Asian language (col.1, II.26-48), the method comprising: for each word phrase (e.g., "tai2 wan1", col.2, II.49-51) of a list of word phrases (e.g., "tai2 wan1", "tai2 dou2", col.2, II.49-51) comprising Asian characters (e.g., Chinese characters), associating a character string (e.g., "tai2") of the word phrase and the word phrase (e.g., "tai2 wan1") with a context cue (e.g., the word "de" as in "tai2 wan1 de tai2") indicative of disambiguating (e.g. identifying) the character string; (col.2, II.39-67) and

5	
Claim(s)	Tang discloses:
	grammar (e.g., CDL grammar). (col.5, II.23-65)
4	The method of claim 2 wherein the language model comprises a context-free-
Claim(s)	Tang discloses:
	model. (Fig.3) {P(w h1,h2,,hi) represents the output of an N-gram language model.}
Claim(s)	Tang discloses: The method of claim 2 wherein the language model comprises an N-gram language
Claim(s)	Tang discloses: The method of claim 1 wherein the language model comprises a statistical language model. (Fig.2)
Olaire/s)	added with the statistical language model and the acoustic model to form a language model to recognize the sentence type with the associated word phrases character strings.}
	building a language model as a function of the associated word phrases and character strings. (Fig.2; col.4, Il.48-67) [As shown in Fig.2, the Character Description Language (CDL) based language model is
	{1. This sentence description is referred to as Type B description. Type B = (w "de" c), where w is a word phrase, c is a character string, "de" is a context cue, and w "de" c is a word phrase with the context cue. (col.5, Il.30-35) 2. The word "de" has the meaning of possession in Chinese and is similar to "as in" in English. For example, "tai2 wan1 de tai2" in Chinese has the meaning of "tai2 as in tai2 wan1" in English. In this respect, "tai2" is associated with "tai2" of "tai2 wan1". 3. In the Type B sentence description, the word "de" is used as a context cue to identify (disambiguate) the homonym "tai2" as in "tai2 wan1 de tai2" or "tai2 dou2 de tai2". Note that the two characters "tai2" are written differently and have different meanings but with similar pronunciation.}

	The method of claim 1 wherein associating includes building a corpus (e.g.
	Vocabulary: Fig.2) of associated character strings and word phrases, and context cues, and
	wherein building the language model includes accessing the corpus.
Claim(s)	Tang discloses:
6	
	The method of claim 1 wherein associating includes associating a first character (e.g.,
	"tai2") of each word phrase (e.g., "tai2 wan1", "tai2 dou2") with the word phrase (e.g., "tai2
	wan1", "tai2 dou2"). (col.2, II.49-51)
Claim(s)	Tang discloses:
7	
	The method of claim 6 wherein associating includes associating another character
	(e.g. "bei4") of at least some of the word phrases (e.g., "bao3 bei4", "zhun3 bei4"), other than
	the first character, with the corresponding word phrases (e.g., "bao3 bei4", "zhun3 bei4").
	(col.2, II.51-54)
	{"bei4" is the last character of the word phrase "bao3 bei4" & "zhun3 bei4".}
Claim(s)	Tang discloses:
10	
	The method of claim 1 and further comprising adjusting a probability score for each of
	the associated characters and word phrases in the language model. (Fig.3, 4 th block; col.5,
	II.10-22)
Claim(s)	Tang discloses:
11	
	The method of claim 1 wherein associating includes forming a phrase comprising the
	character string (e.g., "tai2", "wan1") of the word phrase, the word phrase (e.g., "tai2 wan1")
	and the context cue (e.g., "de") for each word phrase (e.g., "tai2 wan1 de tai2") of the list of
	word phrases (e.g., "tai2 wan1 de tai2", "tai2 dou2 de tai2"). (col.2, II.39-67)
Claim(s)	Canceled.
12	
Claim(s)	Tang discloses:
13	

	The method of claim 11 wherein the context cue comprises "de" in Chinese. (col.2,
	II.40-41)
Claim(s)	Tang discloses:
15	
	The method of claim 1 wherein each of the word phrases is a single word (e.g., "tai2
	wan1") comprising at least one character (e.g., "tai2", "wan1"). (col.2, II.39-60)
	{"tai2 wan1" is a single word meaning the country of "Taiwan".}
Claim(s)	Tang discloses:
16	
	The method of claim 15 wherein each of the character strings (e.g., "tai2", "wan1") is
	a single character. (col.2, II.39-60)
	{"tai2" is a single character in Chinese.}
Claim(s)	Tang discloses:
17	
	The method of claim 1 wherein each of the character strings (e.g., "tai2", "wan1") is a
	single character. (col.2, II.39-60)
	{"tai2" is a single character in Chinese.}
Claim(s)	Tang discloses:
18	
	A computer readable medium having instructions, which when executed by a
	processor perform a method for recognizing characters when spoken (Fig.2), the method
	comprising:
	{Processor and computer readable medium having instructions are inherent to the speech
	recognition system.}
	receiving input speech having a context cue phrase (e.g., "tai2 wan2 de tai2"), the
	context cue phrase comprising a character string (e.g., "tai2"), a word phrase (e.g., "tai2
	wan2") having the character string, and a context cue (e.g., "de"); (col.1, II.65-67; col.2, II.39-
	67)
	{The word "de" is used as a context cue to identify (disambiguate) the homonym "tai2" as in
	"tai2 wan1 de tai2" or "tai2 dou2 de tai2".}

	detecting (e.g., recognizing) the context cue phrase (e.g., "tai2 wan1 de tai2") in the
	received input speech without prompting indicative of the character string as text; (col.5, II.1-
	9) and
	{The context cue phrase "tai2 wan2 de tai2" which is in the form (W "de" C) is recognized.}
	outputting the character string (e.g., "tai2") as text without the word phrase (e.g., "tai2")
	wan1") and the context cue (e.g., "de") for the detected context cue phrase (e.g., "tai2 wan2
	de tai2"). (col.1, II.59-60; col.5, II.59-67; col.6, II.1-4; see also Fig.5A; CG-B block)
	{The single character "tai2" is generated by the Chinese character generator (Fig.3) without
	"tai2 wan1" and "de".}
Claim(s)	Tang discloses:
19	Tang discloses.
	The computer readable medium of claim 18 and further comprising instructions for
	accessing a language model indicative of context cue phrases. (Fig.2; col.4, II.48-67)
	{As shown in Fig.2, the Character Description Language (CDL) based language model is
	added with the statistical language model and the acoustic model to form a language model
	to recognize the sentence type with the associated word phrases character strings.}
Claim(s)	Tang discloses:
20	
	The computer readable medium of claim 19 wherein the language model is indicative
	of probabilities of phrases consisting essentially of associated character strings, word phrases
	having the character strings, and context cues. (Fig.3, 4 th block; col.5, II.10-22)
Claim(s)	Tang discloses:
21	
	The computer readable medium of claim 19 wherein outputting the character string
	includes outputting the character string (e.g., "tai2") as a function of recognizing the character
•	string using the language model (e.g., CDL based language model). (Fig.1; col.5, II.59-67;
	col.6, II.1-4)
Claim(s)	Tang discloses:
22	

Page 8

Application/Control Number: 09/773,242

	The computer readable medium of claim 21 wherein the language model comprises a
	statistical language model. (Fig.2)
Claim(s)	Tang discloses:
23	
	The computer readable medium of claim 22 wherein the language model comprises
	an N-gram language model. (Fig.3)
	{P(w h1,h2,,hi) represents the output of an N-gram language model.}
Claim(s) 24	Tang discloses:
	The computer readable medium of claim 21 wherein outputting the character string
	includes outputting the character string (P(w h1,h2,,hi): Fig.3) as only a function of an N-
	gram of the received input speech.
Claim(s)	Tang discloses:
•	The computer readable medium of claim 21 wherein outputting the character string
	includes outputting the character string (e.g., "tai2") as a function of a comparison of a
	recognized character string with a recognized word phrase (e.g., "tai2 wan1"). (col.5, II.4-9)
Claim(s)	Tang discloses:
	The computer readable medium of claim 25 wherein when the recognized character
	string is not present in the recognized word phrase, the character string that is outputted is a
	character string of the recognized word phrase. (col.6, II.9-13)
Claim(s)	Tang discloses:
27	
	The computer readable medium of claim 21 wherein the language model comprises a
	context-free-grammar (e.g., CDL grammar). (col.5, II.23-65)
Claim(s)	Tang discloses:
28	The computer readable medium of claim 18 wherein each of the word phrases is a

	single word (e.g., "tai2 wan1"). (col.2, Il.39-64)
	{"tai2 wan1" is a single word meaning the country of "Taiwan".}
<u> </u>	
Claim(s)	Tang discloses:
29	
	The computer readable medium of claim 28 wherein each of the character strings
	(e.g., "tai2", "wan1") is a single character. (col.2, II.39-60)
	{"tai2" is a single character in Chinese.}
Claim(s)	Tang discloses:
30	
	The computer readable medium of claim 18 wherein each of the character strings
	(e.g., "tai2", "wan1") is a single character. (col.2, II.39-60)
	{"tai2" is a single character in Chinese.}
Claim(s)	Tang discloses:
31	
	A computer readable medium having instructions, which when executed by a
	processor, for recognizing character strings when spoken, the instructions comprising:
	{Processor and computer readable medium having instructions are inherent to the speech
	recognition system. (Fig.2)}
	a language model indicative of context cue phrases (e.g., "tai2 wan2 de tai2")
	consisting essentially of associated character strings (e.g., "tai2"), word phrases (e.g., "tai2")
	wan2") having the character strings and context cues (e.g., "de"); and (Fig.2; col.2, II.39-67; col.4, II.48-67)
	{As shown in Fig.2, the Character Description Language (CDL) based language model is
	added with the statistical language model and the acoustic model to form a language model
	to recognize the sentence type with the associated word phrases character strings.}
	a recognition module (e.g., CDL based speech recognizer: Fig.1) for receiving data
	indicative of input speech (col.1, II.65-67), detecting (e.g., recognizing) the presence of
	context cue phrases (e.g., "tai2 wan1 de tai2") in the input speech without prompting
	indicative of character strings as text, accessing the language model (col.5, II.1-9), and
	outputting a character string (e.g., "tai2") as text for at least some detected context cue
·	The state of the s

	phrases (e.g., "tai2 wan2 de tai2") spoken by the user (col.1, II.59-60; col.5, II.59-67; col.6,
	II.1-4; see also Fig.5A: CG-B block).
	{1. The context cue phrase "tai2 wan2 de tai2" which is in the form (W "de" C) is recognized.
	2. The single character "tai2" is generated by the Chinese character generator (Fig.3) without
	"tai2 wan1" and "de".}
Claim(s)	Tang discloses:
32	
	The computer readable medium of claim 31 wherein the recognition module
	processes detected context cue phrases differently than other input speech by outputting only
	the character strings (e.g., "tai2") in the detected context cue phrases (e.g., "tai2 wan2 de
	tai2"). (col.1, II.59-60; col.5, II.59-67; col.6, II.1-4; see also Fig.5A: CG-B block)
Claim(s)	Tang discloses:
33	
	The computer readable medium of claim 31 wherein the language model comprises a
	statistical language model. (Fig.2)
Claim(s)	Tang discloses:
34	
	The computer readable medium of claim 31 wherein the language model comprises
	an N-gram language model. (Fig.3)
	{P(w h1,h2,,hi) represents the output of an N-gram language model.}
Claim(s)	Tang discloses:
35	
	The computer readable medium of claim 31 wherein the language model comprises a
	context-free-grammar (e.g., CDL grammar). (col.5, II.23-65)
Claim(s)	Tang discloses:
36	
	The computer readable medium of claim 31 wherein the recognition module outputs
	the character string (e.g., "tai2") as a function of a comparison of a recognized character

Art Unit: 2655

	string with a recognized word phrase (e.g., "tai2 wan1"). (col.5, II.4-9)
Claim(s) 37	Tang discloses:
	The computer readable medium of claim 36 wherein when the recognized character
	string is not present in the recognized word phrase, the character string that is outputted is a
	character string of the recognized word phrase. (col.6, II.9-13)
Claim(s)	Tang discloses:
38	T
	The computer readable medium of claim 31 wherein each of the word phrases is a
	single word (e.g., "tai2 wan1"). (col.2, II.39-64)
	{"tai2 wan1" is a single word meaning the country of "Taiwan".}
Claim(s)	Tang discloses:
	The computer readable medium of claim 38 wherein each of the character strings
	(e.g., "tai2", "wan1") is a single character. (col.2, II.39-60)
	{"tai2" is a single character in Chinese.}
Claim(s)	Tang discloses:
	The computer readable medium of claim 31 wherein each of the character strings
	(e.g., "tai2", "wan1") is a single character. (col.2, II.39-60)
	{"tai2" is a single character in Chinese.}
	·

Claim Rejections - 35 USC § 103

- 5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and

Art Unit: 2655

the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claim(s)	Tang shows:
8	consisting includes accomplishing a first sharpeter (o.g. "theigh") of some of the sound
	associating includes associating a first character (e.g., "tai2") of some of the word phrases (e.g., "tai2 wan1", "tai2 dou2") with the corresponding word phrases (e.g., "tai2
	wan1", "tai2 dou2"). (col.2, II.49-51)
	associating includes associating another character (e.g. "bei4") of some of the word
	phrases (e.g., "bao3 bei4", "zhun3 bei4"), other than the first character, with the
	corresponding word phrases (e.g., "bao3 bei4", "zhun3 bei4"). (col.2, II.51-54)
	Tang does not show:
	associating includes associating each character of at least some of the word phrases with the corresponding word phrases.
	It would have been obvious to a person of ordinary skill in the art at the time the
	invention was made to modify Tang's method of associating different character strings to the
	word phrase to include associating each character of at least some of the word phrases with
	the corresponding word phrases in order to provide a fuller set of character strings
	association to the corpus so that any character of the word phrase, depending on user
	preference, can be used to associate.
Claim(s)	Tang shows:
9	
	associating includes associating a first character (e.g., "tai2") of some of the word
	phrases (e.g., "tai2 wan1", "tai2 dou2") with the corresponding word phrases (e.g., "tai2
	wan1", "tai2 dou2"). (col.2, II.49-51)
	associating includes associating another character (e.g. "bei4") of some of the word
	phrases (e.g., "bao3 bei4", "zhun3 bei4"), other than the first character, with the

Art Unit: 2655

corresponding word phrases (e.g., "bao3 bei4", "zhun3 bei4"). (col.2, II.51-54)

Tang does not show:

associating includes associating each character of each word phrase with the corresponding word phrase.

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify Tang's method of associating different character strings to the word phrase to include associating each character of at least some of the word phrases with the corresponding word phrases in order to provide a fuller set of character strings association to the corpus so that any character of the word phrase, depending on user preference, can be used to associate.

Claim(s)

Tang shows:

14

the context cue comprises the claimed character "de" in Chinese. (col.2, II.40-41)

Tang does not show:

the context cue comprises the claimed character "no" in Japanese.

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify Tang's method of using the claimed Chinese character "de" to include the claimed Japanese character "no" in order to extend Tang's method of associating Chinese characters to Japanese language. Since the claimed Japanese character "no" has similar meaning to the claimed Chinese character "de" and the fact that Japanese is a character based language like Chinese, one of ordinary skill in the art can apply Tang's method to the Japanese language for the benefit of enhancing the application to other Asian language.

Conclusion

Art Unit: 2655

7. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

Page 14

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

U.S. Patent Documents:

[1] 6,562,078 05/2003 Yang et al.

[2] 5,787,230 07/1998 Lee

Other Publications:

[3] JP 11167393 A 06/1999 Okamoto

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tim Lao whose telephone number is 703-305-8955.

The examiner can normally be reached on M-F, 8:30am-5pm.

Art Unit: 2655

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Doris To can be reached on 703-305-4827. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

TL 07/13/04 Tim Lao Examiner Art Unit 2655